Schedule III [see regulation 6(4)]

Monthly statements of liquid capital with the Commission and the securities exchange ADAM SECURITIES LIMITED Computation of Liquid Capital As on 29-02-2024

1.2 Interpret in Good, Securities 1.3 Investment in Good, Securities 1.5 Interpret in Good, Securities in Good, Securities on the cutoff data so computed by the Securities Exchange for Interpret in Interpret Securities Interpret in Good, Securities in Good, Securities in Good, Securities on the Cutoff data so computed by the Securities Exchange for Interpret in Interpret Securities Interpret in Interpret Securities Interpret in Interpret Securities Interpret in Interpret Securities Interpret Interpret Securities Interpret	S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1.2 Improvement in Good, Securities 2,750,000	1. Assets	Property & Equipment	44 305 038	44 305 038	-
Investment in Gobt. Securities					-
Filted than		· · · · · · · · · · · · · · · · · · ·			
L. 5% of the balance sheet value in the case of tenure upto 1 year.					
I. 75% of the balance sheet value, in the case of tenure from 1-3 years.					
1.0% of the balance sheet value, in the case of tenure of more than 3 years.					
Funisted than:	1 4				
II.125K of the balance sheet value, in the case of tenure from 1-1 years.					
Investment in Equity Securities		, ,	874,123,506	87,412,351	786,711,155
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iv. Balance sheet value 1.17 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash					
1.17 v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash		•	20,932,836	-	20,932,836
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash	1.17				
	,	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of			
deposited as collateral by the respective customer and (iii) the market value of securities held as collateral 2,467,469 620,688		securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash			
		deposited as collateral by the respective customer and (iii) the market value of securities held as collateral	2,467,469	620,688	620,688
after applying VaR based haircuts.					
v. Lower of net balance sheet value or value determined through adjustments		v. Lower of net balance sheet value or value determined through adjustments			

II. Payable against leveraged market products 67,818.833 - 67,818.833		vi. In the case of amount of receivables from related parties, values determined after applying applicable haircuts on underlying securities readily available in respective CDS account of the related party in the following manner; (a) Up to 30 days, values determined after applying var based haircuts. (b) Above 30 days but upto 90 days, values determined after applying 50% or var based haircuts whichever is higher. (c) above 90 days 100% haircut shall be applicable. vi. Lower of net balance sheet value or value determined through adjustments	7,066,889	7,066,889	7,066,889
1.10 It Bank blance-customer accounts 11.00 It Bank blance-customer accounts 11.01 It Bank blance-customer accounts 11.02 Subscription money against investment in IPO/ offer for sale (assest) 11.02 It begins to the property of the propert					
Subscription money against investment in IPO/ offer for safe (asset) 133,415 133	1.18	, , , ,			, ,
Subscicition money against investment in PO/ offer for sale (asset) (i) No haircut may be applied in respect of amount paid as subscription money provided that shares have not been alloted or or ann or included in the investments of securities broken; (ii) (iii) a case of subscription to grid sit is investment to a subscription money provided that shares have been alloted but not yet credited in CDS Account, 20 haircuts with the application on the value of such securities. (iii) or sale of subscription to right sit is invited the share have not yet been credited in CDS account, 25% or VAR board halrout whichever is higher, will be applied on flight Shares. (2. Libilities) 1.2.0 Total Assets 2. Libilities 1. Favable spatials tierorized market products 1. Favable spatials tierorized market products 2. Pay Shares and the payables 1. Favable spatials tierorized market products 2. Corrent Libilities 2. Corrent Libilities 3. Statutory and regulations due to the subscription of the state of the subscription of the company has approved the increase of capital. 2.4. According authorized share capita				-	
(NN o hisrout may be applied in respect of amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. (I) in one of one of included in the investments of securities broker. (II) in one of one obscription in right shares where the hisrout securities. (II) in one of one obscription in right shares where the hisrout securities. (III) in case of on subscription in right shares where the hisrout have not read in CDS account, 13% of VMP based hartout whichever is higher, will be applied on Night Shares. 1.20 Total Seas			113,413	-	113,413
2. Lubilities Total Assets	1.19	(i)No haircut may be applied in respect of amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker. (ii) In case of Investment in IPO where shares have been alloted but not yet credited in CDS Account, 25% haircuts will be applicable on the value of such securities. (iii) In case of subscription in right shares where the shares have not yet been credited in CDS account,	-	-	-
2.1 Labelities 2.1 Care Psyables 2.1 La Psyable to exchanges and clearing house 929.53.626 929.53.6	1.20		1 200 420 505	271 726 420	1 000 105 922
Trade Payables 1. Handbot to exchanges and clearing house 1. Payable against leveraged market products 1. Payable against leveraged market products 1. Payable sagainst leveraged market products 1. Recruits and other payables 1. Saturour and regulatory dues and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturour liabilities as per accounting principles and included in the financial statements 1. Saturo			1,399,430,595	3/1,/36,429	1,060,185,823
2.1 Parable to exchanges and clearine house 29,253,626 29,253,626	L. LIGDIIILI				
ii. Payable against leveraged market products iii. Payable be outcomers Current Liabilities I. Statutory and regulatory dues I. Statutory and regulatory dues I. Statutory and regulatory dues II. Accruals and other payables II. Accruals and other payables III. Accruals and other payables and included in the financial statements III. Accruals and included in the financial statements III. Accruals and included in the financial statements III. Accruals and included included in the financial statements III. Accruals and included included in the financial statements III. Accruals and included included in the financial statements III. Accruals and included included included included in the f	2.1		29,253,626		29,253,626
Current Liabilities 15,258,912 15,258,	2.1		·		
L Statutory and regulatory dues According and other people's 15,258,912 - 15,258,912 L Substitution and substitution of the property of the pr			67,818,833	-	67,818,833
II. Accruals and other payables 15,258,912 15,258,912 18,505,154 85,531 85,531 85,531 18,531					
III. Short-term borrowings 85,531 - 85,531 - 85,531			15 258 012		15 250 012
2.2 Current portion of long term liabilities				-	
V. Current portion of long term liabilities V. Deferred Liabilities V. Deferred Liabilities V. Deferred Liabilities V. Defered Liabilities V. Defered Liabilities V. Defered Liabilities V. Defered Liabilities V. Deferred Liabilities			05,551		63,331
wi. Deferred Liabilities vii. Provision for taxation viii. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities Liong-Term financing ii. Other liabilities as per accounting principles and included in the financial statements iii. Other liabilities as per accounting principles and included in the financial statements iii. Staff retirement benefits Note: (a) 100% haircut may be allowed against long term portion of financing obtained from a financial institution including amount due against finance leases. (b) Nill in all other cases Subordinated Loans 1.100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares for load share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital of the capital control of the company has approved the increase in capital of the capital load of the company has approved the increase in capital of the capital load of the capital load of the capital have been obtained of the case in capital of the capital load of th	2.2				
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3. Ranking Liabilities Relating to: Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities	2.6	Total Liabilites	112 416 002		112 416 902
Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL 3.2 (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)			112,710,302		112,410,302
acceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate amount shall be include in the ranking liabilities Concentration in securites lending and borrowing					
The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL 3.2 (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)	3.1	exceed 10% of the aggregate of amounts receivable from total finances. (Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs 5 million) Note: Only amount exceeding by 10% of each financee from aggregate	24,738,598	12,035,320	12,035,320
(i) Amount deposited by the borrower with NCCPL 3.2 (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities)		Concentration in securites lending and borrowing			
<u> </u>	3.2	(i) Amount deposited by the borrower with NCCPL (li) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed (Note only amount exceeding by			
		110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities) Net underwriting Commitments			

3.3	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitment			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary			
	Foreign exchange agreements and foreign currency positions			
3.5	5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency			
3.6	Amount Payable under REPO			
	Repo adjustment	1		
3.7	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
	Concentrated proprietary positions	•	•	
3.8	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	2,857,089	2,857,089	2,857,089
	Opening Positions in futures and options			
3.9	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts	8,976,638	8,976,638	8,976,638
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met Short selli positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral			
3.10	and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying			
3.11	Total Ranking Liabilites	36,572,325	23,869,047	23,869,047

LIQUID CAPITAL BALANCE 923,899,874

Calculations Summary of Liquid Capital

(i) Adjusted value of Assets (serial number 1.20)

(ii) Less: Adjusted value of liabilities (serial number 2.6)

(iii) Less: Total ranking liabilities (series number 3.11)

Note: Commission may issue guidelines and clarifications in respect of the treatment of any component of Liquid Capital including any modification, deletion and inclusion in the calculation of Adjusted value of assets and liabilities to address any practical difficulty.